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PTO/SB/05 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032

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10/611511
07/01/03

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

03871-P0001B

First Inventor

John A. Rotondo

Title Remote Thermostat For Room Air Conditioner**Express Mail Label No.** EL574206925US**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status
See 37 CFR 1.27.
3. Specification [Total Pages 24]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claims(s)
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages 2]
 - a. New executed (original or copy)
 - b. Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Commissioner for Patents
Mail Stop Patent Application
Alexandria, VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
 - a. Computer Readable Form (CRF)
 - b.: Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney *(when there is an assignee)*
11. English Translation Document *(if applicable)*
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13.
14. Preliminary Amendment
15. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
16. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
17. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
18. Other.....

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part

of prior application No.: 60/396,215

Prior application information: Examiner Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number of Bar Code Label	 Insert Customer Nr. in Attach here label here.		<input checked="" type="checkbox"/> Correspondence address below
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Country	United States	Telephone	Zip Code
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			Fax
			203 327-1096

Name (Print/Type)	Todd M. Oberdick	Registration No. (Attorney/Agent)	44,268
Signature			Date 7/1/03

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450; Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop CPA; Commissioner for Patents; P.O. Box 1450; Alexandria, VA 22313-1450.

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17581 U.S. PTO
07/01/03

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 375.00) Attorney Docket Number 03871-P0001B SHL/TMO

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																			
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:</p> <p>Deposit Account Number 19-4516</p> <p>Deposit Account Name St.Onge Steward Johnston & Reens LLC</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65 Surcharge – late filing for or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25 Surcharge – late provisional filing or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130 Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520 For filing a request for <i>ex parte</i> reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920* Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840 Requesting publication of SIR after Examiner Action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55 Extension for reply within first month</td></tr> <tr><td>116</td><td>410</td><td>216</td><td>205 Extension for reply within second month</td></tr> <tr><td>117</td><td>930</td><td>217</td><td>465 Extension for reply within third month</td></tr> <tr><td>118</td><td>1,450</td><td>218</td><td>725 Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1,970</td><td>228</td><td>985 Extension for reply within fifth month</td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160 Notice of Appeal</td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160 Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140 Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510 Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55 Petition to revive – unavoidable</td></tr> <tr><td>141</td><td>1,300</td><td>241</td><td>650 Petition to revive – unintentional</td></tr> <tr><td>142</td><td>1,300</td><td>242</td><td>650 Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>470</td><td>243</td><td>235 Design issue fee</td></tr> <tr><td>144</td><td>630</td><td>244</td><td>315 Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50 Processing fee under 37 CFR 1.17(q)</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240 Submission of Informational Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40 Recording each patent assignment per Property (times number of properties)</td></tr> <tr><td>146</td><td>750</td><td>246</td><td>375 Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>149</td><td>750</td><td>249</td><td>375 For each additional invention to be examined (37 CFR 1.129(b))</td></tr> <tr><td>179</td><td>750</td><td>279</td><td>375 Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900 Request for expedited examination of a design application</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="4">* Reduced by Basic Filing Fee paid</td> <td colspan="2">SUBTOTAL (3) (\$)</td> <td colspan="2">0.00</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65 Surcharge – late filing for or oath	127	50	227	25 Surcharge – late provisional filing or cover sheet	139	130	139	130 Non-English specification	147	2,520	147	2,520 For filing a request for <i>ex parte</i> reexamination	112	920*	112	920* Requesting publication of SIR prior to Examiner action	113	1,840*	113	1,840 Requesting publication of SIR after Examiner Action	115	110	215	55 Extension for reply within first month	116	410	216	205 Extension for reply within second month	117	930	217	465 Extension for reply within third month	118	1,450	218	725 Extension for reply within fourth month	128	1,970	228	985 Extension for reply within fifth month	119	320	219	160 Notice of Appeal	120	320	220	160 Filing a brief in support of an appeal	121	280	221	140 Request for oral hearing	138	1,510	138	1,510 Petition to institute a public use proceeding	140	110	240	55 Petition to revive – unavoidable	141	1,300	241	650 Petition to revive – unintentional	142	1,300	242	650 Utility issue fee (or reissue)	143	470	243	235 Design issue fee	144	630	244	315 Plant issue fee	122	130	122	130 Petitions to the Commissioner	123	50	123	50 Processing fee under 37 CFR 1.17(q)	126	240	126	240 Submission of Informational Disclosure Stmt	581	40	581	40 Recording each patent assignment per Property (times number of properties)	146	750	246	375 Filing a submission after final rejection (37 CFR § 1.129(a))	149	750	249	375 For each additional invention to be examined (37 CFR 1.129(b))	179	750	279	375 Request for Continued Examination (RCE)	169	900	169	900 Request for expedited examination of a design application	Other fee (specify) _____				* Reduced by Basic Filing Fee paid				SUBTOTAL (3) (\$)		0.00	
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SUBMITTED BY		St.Onge Steward Johnston & Reens LLC				Complete (if applicable)	
Name (Print Type)		Todd M. Oberdick		Registration No. (Attorney/Agent)	44,268	Telephone	203 324-6155
Signature		<i>Todd M. Oberdick</i>		Date	7/1/2003		

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PATENT
03871-P0001B SHL/TMO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	John A. Rotondo
Serial No.	Pending
Title of Application:	Remote Thermostat For Room Air Conditioner

Assistant Commissioner for Patents
Washington, DC 20231

Cover Sheet For Four Sheets Of Drawings

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